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212 705-5000
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Appln. Trans.
PATENT

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52521
08/25/99

UTILITY PATENT
APPLICATION
TRANSMITTAL

(Only for new nonprovisional
applications under 37 CFR 1.53(b))

Attorney Docket No. A32159 - 070050.0945

First Named Inventor Nemo Semret

Express Mail Label No. EJ339575304US

Total Pages 3

August 25, 1999

BY EXPRESS MAIL

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Nemo Semret et al. entitled "SYSTEM AND METHOD FOR ALLOCATING RESOURCES USING SPOT MARKET AND DERIVATIVE MARKET TECHNIQUES"

which includes:

☒ Specification 24 Total Pages
☒ Claims 5 Total Pages
☒ Abstract 1 Total Pages
☒ Drawing(s) 5 Total Sheets
 formal
 X informal
☒ Appendix A 6 Total Pages

☒ Combined Declaration and Power of Attorney 3 Total Pages

☒ Newly executed (original or copy)

☐ Copy from a prior application

(for continuation/divisional only - must be filed to avoid surcharge for late filing)

If a continuing application, check appropriate box:

☐ Continuation of prior application
☐ Divisional
☐ Continuation-In-Part (CIP)

☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☐ continuation-in-part
of copending application Serial No. filed ."

Attorney Docket No. A32159 - 070050.0945

- ☒ An Assignment of the invention to _.
☒ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
☐ will follow.
☐ has been filed in the prior application
- ☒ Small Entity Statement(s)
☐ Small Entity Statement filed in prior application. Status still proper and desired.
- ☐ Information Disclosure Statement (IDS) PTO-1449
☐ Copies of IDS Citations.
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other _
- ☐ Cancel in this application original claims _ of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1) No. Filed	(Col. 2) No. Extra	Small Entity Rate	Fee	OR	Other Than A Small Entity Rate	Fee
Basic Fee				\$380			
Total Claims	12	-20 = 0	x \$9 =	\$0		x \$18 =	\$0
Ind. Claims	2	-3 = 0	x \$39 =	\$0		x \$78 =	\$0
Multiple Dependent Claim			+ \$130 =	\$0		+ \$260 =	\$0
			Total	<u>\$380</u>			<u> </u>

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

- ☒ Enclosed
- ☒ Basic filing fee \$380.00
- ☒ Recording Assignment \$40.00
[\$40.00; 37 CFR 1.21(h)]
- Total Fees Enclosed \$420.00

☒ A check in the amount of \$420.00 to cover filing fee and assignment recordation fee is enclosed.

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Priority

- ☐ Priority of application Country __, Appln. No. __ filed __ is claimed under 35 U.S.C. 119.
- ☐ Certified Copy of Priority Document(s) Country __, Appln No. __, filed __.
☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N __.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKER & BOTTS, L.L.P.

By

Paul D. Ackerman

PTO Registration No. 39,891

Enclosures